



PTO/SB/08A (10-01)

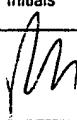
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

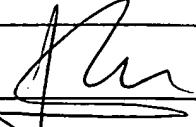
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application No.	10/632,857
				Filing Date	July 31, 2003
				First Named Inventor	Carey Nachenberg
				Art Unit	Not Yet Known
				Examiner Name	Not Yet Known
Sheet	1	of	1	Attorney Docket Number	20423-07776

<b>U.S. PATENT DOCUMENTS</b>				
		Document No.		
Examiner Initials*	Cite No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-		

<b>FOREIGN PATENT DOCUMENTS</b>				
		Foreign Patent Document		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
				T <sup>6</sup>

<b>OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
		LOW, WAI LUP, ET AL., "DIDAFIT: Detecting Intrusions In Databases Through Fingerprinting Transactions," ICEIS 2002, Fourth International Conference On Enterprise Information Systems, Vol. 1, April 3-6, 2002, pp. 121-128, Ciudad Real, Spain.		

Examiner Signature		Date Considered	3/9/07
--------------------	---	-----------------	--------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

20423/07776/DOCS/1460753.1

08/23/04

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	10/632,857
				Filing Date	July 31, 2003
				First Named Inventor	Carey S. Nachenberg
				Art Unit	2131
				Examiner Name	Not Yet Known
Sheet 1 of 5				Attorney Docket Number	20423-07776

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
/Piotr Poltorak/	A1	US-7,120,645	10-10-2006	Manikutty et al.	
	A2	US-7,085,928	08-01-2006	Schmid et al.	
	A3	US-2006/0117386 A1	06-01-2006	Gupta et al.	
	A4	US-6,928,553	08-09-2005	Xiong et al.	
	A5	US-2005/0138006 A1	06-23-2005	Bennett et al.	
	A6	US-2005/0097149 A1	05-05-2005	Vaitzblit et al.	
	A7	US-6,839,850	01-04-2005	Campbell et al.	
	A8	US-2004/0250134 A1	12-09-2004	Kohler et al.	
	A9	US-2004/0260945 A1	12-23-2004	Raikar et al.	
	A10	US-2004/0205360 A1	10-14-2004	Norton et al.	
	A11	US-6,775,827	08-10-2004	Harkins	
	A12	US-6,775,657	08-10-2004	Baker	
	A13	US-2004/0098623 A1	05-20-2004	Scheidell	
	A14	US-2003/0221123 A1	11-27-2003	Beavers	
	A15	US-2003/0188189 A1	10-02-2003	Desai et al.	
	A16	US-2003/0154402 A1	08-14-2003	Pandit et al.	
	A17	US-2003/0145226 A1	07-31-2003	Bruton et al.	
	A18	US-6,598,038	07-22-2003	Guay et al.	
	A19	US-2003/0101355 A1	05-29-2003	Mattsson	
	A20	US-2003/0051026 A1	03-13-2003	Carter et al.	
	A21	US-2002/0157020 A1	10-24-2002	Royer	
	A22	US-2002/0087882 A1	07-07-2002	Schneider et al.	
	A23	US-6,356,887	03-12-2002	Berenson et al.	
	A24	US-6,128,740	10-03-2000	Curry et al.	
	A25	US-6,088,803	07-11-2000	Tso et al.	
	A26	US-5,826,076	10-20-1998	Bradley et al.	
	A27	US-5,742,806	04-21-1998	Reiner et al.	

Examiner Signature	/Piotr Poltorak/	Date Considered	03/27/2007
--------------------	------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application No.	10/632,857
				Filing Date	July 31, 2003
				First Named Inventor	Carey S. Nachenberg
				Art Unit	2131
				Examiner Name	Not Yet Known
Sheet	2	of	5	Attorney Docket Number	20423-07776

<b>U.S. PATENT DOCUMENTS</b>				
		Document No.		
Examiner Initials*	Cite No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/Piotr Poltorak	A28	US-5,664,172	09-02-1997	Antoshenkov

<b>FOREIGN PATENT DOCUMENTS</b>				
		Foreign Patent Document		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	B1	WO 01/71499 A1	09-27-2001	Counterpane Internet Security Inc.

<b>OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
	C1	AirCERT web page, last updated 9/18/00 [online]. Cert.org [retrieved 4/18/03]. Retrieved from the Internet<URL: http://www.cert.org/kb/aircert/>.		
	C2	Analysis Console for Intrusion Detection (ACID) web page [online]. Andrew.cmu.edu [retrieved 4/18/03]. Retrieved from the Internet<URL: http://www.andrew.cmu.edu/~rdanyliw/snort/snortacid.html>		
	C3	"Caltarian Security Technology Platform," Riptech web pages [online]. Symantec.com [retrieved 4/18/03]. Retrieved from the Internet<URL: http://wnterprisesecurity.symantec.com/Content/displayPDF.cfm?SSSPDFID=35&EID=0>.		
	C4	Change log for Analysis Console for Intrusion Detection (Acid), Indicating release date of 9/8/00 [online]. Andrew.cmu.edu [retrieved 4/18/03]. Retrieved from the Internet:<URL: http://www.andrew.cmu.edu/~rdanyliw/snort/CHANGELOG>.		
	C5	CyberGuard Corporation, "CyberGuard and Webwasher: The Value Proposition," A CyberGuard Corporation White Paper, May 2004, 6 pages.		
	C6	e=SECURITY, INC., Correlation Technology for Security Event Management, October 7, 2002 [online]. eSecurityinc.com [retrieved 4/18/03]. Retrieved from the Internet:<URL: http://www.esecurityinc.com/downloads/Correlation_WP.pdf>.		
↓	C7	Marketing, "Digital Certificates – Best Practices – A Microdasys Whitepaper," bestpractice.doc, Revision 1.1 (July 31, 2003), 6 pages, Czech Republic.		
/Piotr Poltorak	C8	Microdasys, "S C I P Secured Content Inspection: Protecting the Enterprise from CryptoHacks," 2003 by		

Examiner Signature	/Piotr Poltorak/	Date Considered	03/27/2007
--------------------	------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

 Sheet **3** of **5** Attorney Docket Number **20423-07776**

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
/Piotr Poltorak/	C9	Microdasys Inc., 2 pages, Czech Republic.	
	C10	Network Computing Solutions - "Microdasys SCIP" [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL:htp://www.ncs.cz/index.php?language=en&menuitem=4&subitem=13>, 2 pages, Czech Republic.	
	C11	Network Computing Solutions - NSC Homepage - News [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL:htp://nsc.cz/index.php?language=en&menuitem=0&subitem=4&subitem=13>, 3 pages, Czech Republic.	
	C12	PARKHOUSE, Jayne, "Pelican Save TNet 2.0," [online] June 2000, SC Magazine Product Review, [retrieved 12/1/2003] Retrieved from the Internet<URL:htp://www.scmagazine.com/standalone/pelican/sc_pelican.html>.	
	C13	"PostgreSQL Interactive Documentation," May 2001, [online] [Archived by htpp://archive.org on June 6, 2001; Retrieved on December 19, 2006] Retrieved from the Internet<URL:htp://web.archive.org/web/20010606011227/www.postgresql.org/docs/index.php?overv...>	
	C14	2000 Review of eSecurity product on Network Security web page [online]. SCMagazine.com [retrieved 4/18/03]. Retrieved from the Internet<URL:htp://www.scmagazine.com/scmagazine/2000_12/testc/network.htm#Open>.	
	C15	SCHNEIER, Bruce, Managed Security Monitoring: Network Security for the 21 <sup>st</sup> Century, 2001 [online]. Counterpane.com [retrieved 4/18/03]. Retrieved from the Internet:<URL:htp://www.counterpane.com/msm.pdf>	
	C16	SCIP Product, Microdasys - "The need to control, inspect and manage encrypted webtraffic." [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL:htp://www.microdasys.com/scipproduct+M54a708de802.html>. Author unknown, 2 pages, Czech Republic.	
	C17	Slashdot.org web pages describing Dshield, dated 11/27/00 [online]. Slashdot.org [retrieved 4/18/03]. Retrieved from the Internet:<URL:htp://slashdot.org/article.pl?sid=00/11/27/1957238&mode=thread>.	
↓	C18	SSL Stripper Home Page, "Security Solutions: Sample Screenshots," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL:htp://www.voyer.org/sslstripper/index.html>, 2 pages, October 15, 2004, U.S.A.	
/Piotr Poltorak/	C19	"SSL Stripper Installation Guide," [online]. Retrieved in March 2005 from the Internet<URL:htp://www.sslstripper.com>, 2 pages, U.S.A.	

Examiner Signature	/Piotr Poltorak/	Date Considered	03/27/2007
--------------------	------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Sheet 4 of 5 Attorney Docket Number 20423-07776

## Complete if Known

Application No.	10/632,857
Filing Date	July 31, 2003
First Named Inventor	Carey S. Nachenberg
Art Unit	2131
Examiner Name	Not Yet Known

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
/Piotr Poltorak	C20	SSL Stripper Sample Screenshots. "Security Solutions: Sample Screenshots," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: <a href="http://www.vroyer.org/sslstripper/screenshots.html">http://www.vroyer.org/sslstripper/screenshots.html</a> >, 3 pages, October 15, 2004, U.S.A.	
	C21	Symantec™ Incident Manager <a href="http://enterprisesecurity.symantec.com">http://enterprisesecurity.symantec.com</a> , copyright date June 2003.	
	C22	Web page, announcing 11/11/00 release of Dshield [online]. Deja.com [retrieved 4/18/03]. Retrieved from the Internet<URL: <a href="http://groups.google.com/groups?selm=8vm48v%245pd%241%40nnrp1.deja.com&amp;oe=UTF-8&amp;output=gplain">http://groups.google.com/groups?selm=8vm48v%245pd%241%40nnrp1.deja.com&amp;oe=UTF-8&amp;output=gplain</a> >.	
	C23	Webwasher AG/Full feature set, "Full feature set," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: <a href="http://www.webwasher.com/enterprise/products/webwasher_products/ssl_scanner/full_feature_set.html?l...">http://www.webwasher.com/enterprise/products/webwasher_products/ssl_scanner/full_feature_set.html?l...</a> >, 2 pages.	
	C24	Webwasher AG/Webwasher Anti Spam, "Webwasher Anti Spam," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: <a href="http://www.webwasher.com/enterprise/products/webwasher_products/anti_spam/index.htm...">http://www.webwasher.com/enterprise/products/webwasher_products/anti_spam/index.htm...</a> >, 1 page.	
	C25	Webwasher AG/Webwasher Anti Virus, "Webwasher Anti Virus," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: <a href="http://www.webwasher.com/enterprise/products/webwasher_products/anti_virus/index.html..">http://www.webwasher.com/enterprise/products/webwasher_products/anti_virus/index.html..</a> >, 2 pages.	
	C26	Webwasher AG/Webwasher Content Protection, "Webwasher Content Protection," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: <a href="http://www.webwasher.com/enterprise/products/webwasher_products/content_protection/inde...">http://www.webwasher.com/enterprise/products/webwasher_products/content_protection/inde...&gt;</a> , 2 pages.	
	C27	Webwasher AG/Webwasher 1000 CSM Appliance, "Webwasher 1000 CSM Appliance," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: <a href="http://www.webwasher.com/enterprise/products/webwasher_products/scm_appliance/index...">http://www.webwasher.com/enterprise/products/webwasher_products/scm_appliance/index...&gt;</a> , 2 pages.	
↓	C28	Webwasher AG/Webwasher SSL Scanner, "Webwasher SSL Scanner," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: <a href="http://www.webwasher.com/enterprise/products/webwasher_products/ssl_scanner/index.html">http://www.webwasher.com/enterprise/products/webwasher_products/ssl_scanner/index.html</a> >, 2 pages.	
/Piotr Poltorak	C29	Webwasher AG/Webwasher URL Filter, "Webwasher URL Filter," [online]. Retrieved on March 18, 2005.	

Examiner Signature	/Piotr Poltorak/	Date Considered	03/27/2007
--------------------	------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application No.	10/632,857
				Filing Date	July 31, 2003
				First Named Inventor	Carey S. Nachenberg
				Art Unit	2131
				Examiner Name	Not Yet Known
Sheet	5	of	5	Attorney Docket Number	20423-07776

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
/Piotr Poltorak/		Retrieved from the Internet<URL: <a href="http://www.webwasher.com/enterprise/products/webwasher_products/webwasher_url_filter..">http://www.webwasher.com/enterprise/products/webwasher_products/webwasher_url_filter..</a> >, 1 page.	

Examiner Signature	/Piotr Poltorak/	Date Considered	03/27/2007
--------------------	------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.



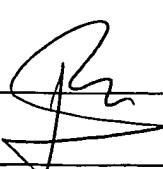
Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	10/632,857
Sheet	1	of	1	Filing Date	July 31, 2003
				First Named Inventor	Carey Nachenberg
				Art Unit	not yet known
				Examiner Name	not yet known
				Attorney Docket Number	20423-07776

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No.	Date	Name of Patentee or Applicant of Cited Document
		Number – Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	
		US 2003/0037251 A1	02-20-2003	Frieder et al.
		US 2002/0157020 A1	10-24-2002	Royer
		US 2002/0083343 A1	06-27-2002	Crosbie et al.
		US 6,314,409 B2	11-06-2001	Schneck et al.

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Date	Name of Patentee or Applicant of Cited Document
		Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
		T <sup>6</sup>		
		CHUNG, CHRISTINA YIP, ET AL., "DEMIDS: A Misuse Detection System for Database Systems", Department of Computer Science, University of California at Davis; October 1, 1999; pp. 1-18, California, U.S.A.		
		LEE, SIN YEUNG, ET AL., "Learning Fingerprints for a Database Intrusion Detection System", ESORICS 2002, Springer-Verlag, Berlin/Heidelberg Germany, pp. 264-279		

Examiner Signature		Date Considered	3/9/07
--------------------	---	-----------------	--------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.